

Docket No.: E3879.0014/P014
(PATENT)

H/5/C
AK
8/26/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Vladimir Neyman, et al.

Application No.: 09/603,389

Group Art Unit: 3624

Filed: June 23, 2000

Examiner: S. Wasylchak

For: COMPOUND ORDER HANDLING IN AN
ANONYMOUS TRADING SYSTEM

FIRST PRELIMINARY AMENDMENT

MS Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED
JUL 23 2003
GROUP 3600

Dear Sir:

INTRODUCTORY COMMENTS

Prior to examination on the merits, please amend the above-identified U.S. patent application as follows:

SUMMARY OF AMENDMENTS

SPECIFICATION

- ☒ There are no amendments to the specification.
☐ Marked up replacement(s) paragraphs are presented in a separate section.
☐

CLAIMS

- ☐ There are no amendments to the claims.
☒ A complete listing of all claims ever present in this case in ascending order with status identifier is presented in a separate section.



3624

AMENDMENT TRANSMITTAL LETTERDocket No.
E3879.0014/P014
E3331.0514/P514Application No.
09/603,389-Conf. #1098Filing Date
June 23, 2000Examiner
S. WasylchakArt Unit
3624

Applicant(s): Vladimir Neyman, et al.

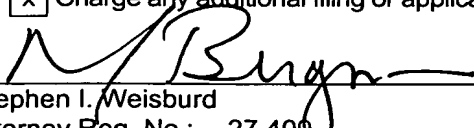
Invention: COMPOUND ORDER HANDLING IN AN ANONYMOUS TRADING SYSTEM

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	51	- 67 =		x	0.00
Independent Claims	9	- 11 =		x	0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify): Request for Continued Examination (RCE)					0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					\$0.00

☒ Large Entity☐ Small Entity☐ No additional fee is required for this amendment.☐ Please charge Deposit Account No. _____ in the amount of \$ _____.
A duplicate copy of this sheet is enclosed.☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.☒ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized to charge and credit Deposit Account No. 04-1073
as described below. A duplicate copy of this sheet is enclosed.☒ Credit any overpayment.☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.
Stephen I. Weisburd
Attorney Reg. No.: 27,409
Michael Bergman
Attorney Reg. No.: 42,318

Dated: July 21, 2003

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